

Audit Committee

17 October 2017



Title	Interim Internal Audit Report		
Purpose of the report	To note		
Report Author	Internal Audit Manager, Punita Talwar		
Cabinet Member	Councillor Howard Williams	Confidential	No
Corporate Priority	Financial Sustainability		
Recommendations	That the Committee notes the report.		
Reason for Recommendation	Not Applicable.		

1. Key issues

- 1.1 Attached at Appendix 1 is a summary of Internal Audit work undertaken in the period April to September 2017.
- 1.2 Internal Audit is “an independent, objective assurance and consulting activity designed to add value and improve an organisation’s operations. It helps an organisation accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control and governance processes.”
- 1.3 In addition to the reviews carried out, Internal Audit assists the organisation in achieving its objectives through other aspects of its work at Spelthorne:
 - (a) Advice provided to services and corporate groups on various risk and control issues
 - (b) Management of counter fraud initiatives
 - (c) Supporting corporate governance arrangements
 - (d) Corporate Risk Management – see separate risk report. Audit provides advice to Management Team, Corporate Risk Management Group (CRMG) and co-ordinates the Council’s Corporate Risk Register.

2. Options analysis and proposal

- 2.1 There are no options.

3. Financial implications

3.1 Not applicable.

4. Other considerations

4.1 Implementation of audit recommendations will assist in the reduction of risks faced by the authority and improve control procedures.

5. Timetable for implementation

5.1 Not applicable.

Background papers: None

Appendices: Summary of Internal Audit work April –August 2017